

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Christian SCHÖNEFELD ET AL-1 (PCT)  
PCT NO.: PCT/DE2005/000042  
FILED: JANUARY 14, 2005  
TITLE: NANOCARBON FULLERENES (NCF), METHOD FOR PRODUCING  
NCF AND USE OF NCF IN THE FORM OF NANOCARBONS

**INFORMATION DISCLOSURE STATEMENT**

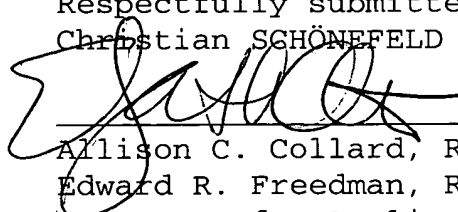
**MAIL STOP PCT**

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicants are enclosing Form PTO-1449 disclosing the references discussed in the Specification. The four reference articles were discussed in the Specification on pages 1 and 2. RU 2041165 (equivalent of US 5,916,955), WO 00/78674 A1, WO 02/07871 A2 and DE 199 55 971 were discussed in the Specification on page 2. The remaining references are known to Applicants. Please note that DE 69212820 is the equivalent of US 5,861,349. Copies of the U.S. references are not enclosed herewith, pursuant to current U.S.P.T.O. guidelines. Since the instant Information Disclosure Statement is being filed concurrently with the application, no official fee is required in connection with the same. It is respectfully requested that the foregoing Information Disclosure Statement be incorporated into the official file of the concurrently-filed PCT patent application.

Respectfully submitted,  
Christian SCHÖNEFELD ET AL



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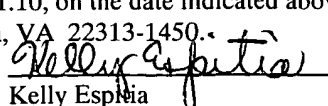
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Attorneys for Applicants

Enclosures: PTO-1449 form, International Search Report and 12 references  
FJD:lgh

EXPRESS MAIL NO. EV 884791033 US

Date of Deposit: August 23, 2006

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, on the date indicated above, and is addressed to Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Kelly Espina

|   |    |  |  |   |       |            |                               |
|---|----|--|--|---|-------|------------|-------------------------------|
| FORM PTO-1449<br>(REV. 7-80)  |    | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |  | ATTY. DOCKET NO. : SCHÖNEFELD<br>ET AL-1 PCT      |       | SERIAL NO. |                               |
| LIST OF REFERENCES CITED BY<br>APPLICANT<br><br>(Use several sheets if necessary)   |    |  |  | APPLICANT : Christian SCHÖNEFELD ET AL.           |       |            |                               |
|   |    |  |  | FILING DATE:                                      |       | GROUP:     |                               |
| U.S. PATENT DOCUMENTS   |    |  |  |   |       |            |                               |
| EXAMINER<br>INITIAL   |    | DOCUMENT NUMBER  | DATE   | NAME  | CLASS | SUBCLASS   | FILING DATE<br>IF APPROPRIATE |
|   | AA | 5,861,349  | 01/1999  | Vereschagin et al (=DE<br>692 12 820 T)           |       |            |                               |
|   | AB | 5,916,955  | 06/1999  | Vereschagin et al. (=RU<br>2041165)               |       |            |                               |
|   | AC | 4,767,608  | 08/1988  | Matsumoto et al.                                  |       |            |                               |
| FOREIGN PATENT DOCUMENTS  |    |  |  |   |       |            |                               |
|   |    | DOCUMENT NUMBER  | DATE   | COUNTRY   | CLASS | SUBCLASS   | TRANSLATION                   |
|   |    |  |  |   |       |            | YES NO                        |
|   | AN | DE 692 12 820T   | 01/1997  | Germany = US 5,861,349<br>(with English Abstract) |       |            |                               |
|   | AO | WO 90/05701  | 05/1990  | PCT   |       |            |                               |
|   | AP | WO 00/78674 A1   | 12/2000  | PCT   |       |            |                               |
|   | AR | WO 02/07871 A2   | 01/2002  | PCT   |       |            |                               |
|   | AS | WO 00/78674 A1   | 12/2000  | PCT   |       |            |                               |
|   | AT | WO 02/07871 A2   | 01/2002  | PCT   |       |            |                               |
|   | AU | DE 199 55 971 A1   | 01/2001  | Germany   |       |            |                               |
|   | AV | RU 2041165   | 08/1995  | Russia (=US 5,916,955)                            |       |            |                               |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)   |    |  |  |   |       |            |                               |
|   | AR |  | H.W. Kroto, J.R. Heath, S.C. O'Brien, R.F. Curl & R.E. Smalley,<br>Nature 1985, 318 (enclosed) |   |       |            |                               |
|   | AS |  | Harris, European Microscopy, Sept. 1994, 13 - (enclosed)                                       |   |       |            |                               |
|   | AT |  | Yokan Nomura & Kazuro Kawamura, Carbon 1984, Ed. 22, N2, p. 189<br>(enclosed)                  |   |       |            |                               |
|   | AU |  | van Thiel & Ree, F.H.J. Appl. Phys. 1987, Ed. 62, pp. 1761-1767<br>(enclosed)                  |   |       |            |                               |
|   | AV |  | Roy Greiner, et al., Nature 1988, Ed. 333 dated June 2, 1988,<br>pp. 440-442 (enclosed)        |   |       |            |                               |
| EXAMINER  |    |  |  | DATE CONSIDERED                                   |       |            |                               |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |    |  |  |   |       |            |                               |